

Receipt

May 9, 2001

In re application of : ANDREW WIGGINS
Serial No. : 09/723,824
Filed : NOVEMBER 28, 2000
For : **CONNECTOR FOR AUDIO COMPONENTS**
Examiner : TO BE ASSIGNED
Group Art Unit : 3629
Our File No. : 10024.6813

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

- A. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:
- ☐ incorrectly entered

and/or

☒ omitted.

Error in

Correct data

- | | |
|---|----|
| 1. <input type="checkbox"/> Applicant's name | 1. |
| 2. <input type="checkbox"/> Applicant's address | 2. |
| 3. <input type="checkbox"/> Title | 3. |
| 4. <input type="checkbox"/> Filing Date | 4. |
| 5. <input type="checkbox"/> Serial Number | 5. |

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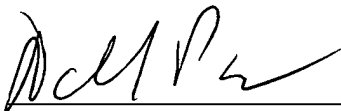
6. ☐ Foreign/PCT Application re: 6.
7. ☒ Other – Attorney of Record 7. Daniel S. Polley, Esq.
3. ☒ The correction(s) is/are not due to any error by applicant and no fee is due.

OR

- ☐ At least one of the above corrections is due to applicant's error and the fee therefor, under 37 C.F.R. §1.19(h), of \$25.00 is paid as follows:

- ☐ Enclosed is check for \$25.00.
- ☐ Charge Deposit Account No. 13-1130.

Respectfully submitted,



Daniel S. Polley, Reg. No. 34,902
Malin, Haley & DiMaggio, P.A.
1936 South Andrews Avenue
Fort Lauderdale, Florida 33316
(954) 763-3303



Serial Number: 09/723,824

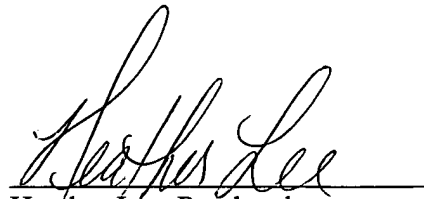
File Number: 10024.6813

CERTIFICATE OF MAILING

I HEREBY CERTIFY that the following correspondence: REQUEST FOR CORRECTED FILING RECEIPT and a Return Postcard for confirmation of receipt is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on **this day 9TH of MAY, 2001.**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code.

Any additional charges, including extension of time, please bill our Account No. 13-1130.


Heather Lee, Paralegal

Date:

MALIN, HALEY & DiMAGGIO, P.A.
Customer No. 22235
1936 South Andrews Avenue
Fort Lauderdale, Florida 33316
(954) 763-3303

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Receipt

ENDONET.023CP3

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : George M. Johnson et al.
Appl. No. : 09/702,002
Filed : October 30, 2000
For : METHOD AND DEVICE FOR
TREATING GASTROESOPHAGEAL
REFLUX DISEASE
Examiner : Unknown

Group Art Unit: 3736

I Hereby Certify That This Correspondence
Is Being Deposited With The United States
Postal Service As First Class Mail In An
Envelope Addressed To: Assistant
Commissioner For Patents, Washington,
D.C. 20231, On

May 11, 2001
(Date)

Gerard Von Hoffmann, Reg. No. 33,043

#10/Letter
corrected
(Filing receipt)

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

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Dear Sir:

Applicants respectfully requests that the first inventor's named, GERARD VON HOFFMANN, for the above-identified patent application be deleted as shown in RED on the enclosed copy of the Official Filing Receipt. Mr. von Hoffmann is not an inventor in this application, he is the attorney of record. A copy of the signed declaration, which was submitted with the Response to Missing Parts on April 6, 2001 is enclosed.

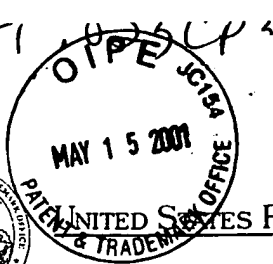
In view of the enclosed documentary evidence, Applicants respectfully request issuance of a corrected filing receipt.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 5/11/01

By: Gerard von Hoffmann
Gerard von Hoffmann
Registration No. 33,043
Attorney of Record
620 Newport Center Drive
Sixteenth Floor
Newport Beach, CA 92660
(949) 760-0404



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/702,002	10/30/2000	3736	613	ENDONET.023CP3	12	37	4

CONFIRMATION NO. 7813

20995
KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660

UPDATED FILING RECEIPT



OC000000005985328

NO DATES DOCKETED
ATTORNEY RESPONSIBLE *[Signature]*
INITIAL _____

Date Mailed: 04/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

~~Gerard von Hoffmann, Residence Not Provided,~~ *Delete*
George M. Johnson, Santa Ana, CA;
John T. Kilcoyne, San Diego, CA;
Ross Tsukashima, San Diego, CA;
Matthew T. Yurek, San Diego, CA;
Scott Harris, San Diego, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/524,478 03/13/2000
WHICH IS A CIP OF 09/287,607 04/07/1999 PAT 6,098,629

Foreign Applications

If Required, Foreign Filing License Granted 02/05/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

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Title

Method and device for treating gastroesophageal reflux disease

Preliminary Class

128

Data entry by : MONROE, BEULAH

Team : OIPE

Date: 04/19/2001





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Washington, DC 20231

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DECLARATION - USA PATENT APPLICATION

COPY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND DEVICE FOR TREATING GASTROESOPHAGEAL REFLUX DISEASE; the specification of which was filed on **October 30, 2000** as Application Serial No. **09/702,002**.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56;

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below, and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S.A. Application

Application No.: 09/287,607

Filing Date: 04/07/99

Status: Issued

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: **George M. Johnson**

Inventor's signature

Date

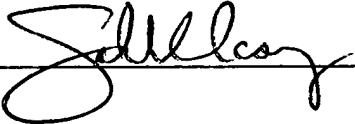
3/22/01Residence: **1841 Derby Drive, Santa Ana, CA 92705**Citizenship: **United States**

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Post Office Address: Same as above

Full name of second inventor: **John T. Kilcoyne**

Inventor's signature


Date 3/22/01


Residence: **5265 Caminito Exquisito, San Diego, CA 92130**

Citizenship: **United States**

Post Office Address: Same as above

Full name of third inventor: **Ross Tsukashima**

Inventor's signature


Date 3/22/01


Residence: **11257 Willow Wood Drive, San Diego, CA 92127**

Citizenship: **United States**

Post Office Address: Same as above

Full name of fourth inventor: **Matthew T. Yurek**

Inventor's signature


Date 3/22/01


Residence: **12957 La Tortola, San Diego, CA 92129**

Citizenship: **United States**

Post Office Address: Same as above

Full name of fifth inventor: **Scott Harris**

Inventor's signature


Date 3/22/2001

Residence: 3622 Oliphant Street, San Diego, CA 92016

Citizenship: United States

Post Office Address: Same as above

Send Correspondence To:
KNOBBE, MARTENS, OLSON & BEAR, LLP
Customer No. 20,995

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